

Coalgebras in Type Theory

Venanzio Capretta

CMCS 2010, Paphos, Cyprus

Corecursive Equations

Coalgebras in
Type Theory

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Corecursive
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CoInductive
Types

Bisimulations

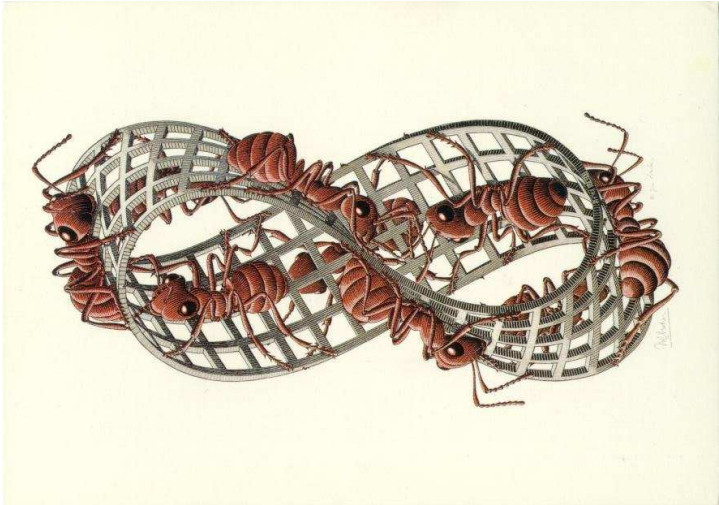
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Streams: infinite sequence over a domain D , \mathbb{S}_D .

$$\text{nat} = 0 :: 1 :: 2 :: 3 :: 4 :: 5 :: 6 :: \dots :: \mathbb{S}_{\mathbb{N}}$$

$$\text{fib} = 0 :: 1 :: 1 :: 2 :: 3 :: 5 :: 8 :: \dots :: \mathbb{S}_{\mathbb{N}}$$

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Notation:

$\text{head} : \text{nat} = 0$

$\text{tail} : \text{nat} = 1 :: 2 :: 3 :: 4 :: 5 :: 6 :: 7 :: \dots$

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$$\text{head} : \quad \text{h}^0 \text{nat} = 0 \quad \text{h}^3 \text{nat} = 3 \quad \text{h}^3 \text{fib} = 2$$

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$$\text{t}^4 \text{nat} = 4 :: 5 :: 6 :: 7 :: 8 :: 9 :: 10 :: \dots$$

$$\text{t}^4 \text{fib} = 3 :: 5 :: 8 :: 13 :: 21 :: 34 :: 55 :: \dots$$

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Corecursive equations on streams: [Rutten 2007]

$$\text{nat} = 0 :: \text{nat} + 1 \quad \text{fib} = 0 :: \text{fib} + (1 :: \text{fib})$$

$$(x :: s_1) \ltimes s_2 = x :: s_2 \ltimes s_1$$

$$\text{even}(x :: s) = x :: \text{odd } s \quad \text{odd}(x :: s) = \text{even } s$$

Harder corecursive equations

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Equations that are more difficult to solve [Zantema 2009]

Three functions of type $\mathbb{S} \rightarrow \mathbb{S}$:

$$\phi\ s = \textcolor{red}{h}s :: \phi(\text{even } \textcolor{red}{t}s) \ltimes \phi(\text{odd } \textcolor{red}{t}s)$$

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$$\psi\ s = \mathfrak{h}s :: \text{even}(\psi(\text{odd } \mathfrak{t}s)) \ltimes \text{odd}(\psi(\text{even } \mathfrak{t}s))$$

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Puzzle: Find equation $f\ s = C[s, f]$ that generates:

```
f nat = 0 : 0 : 1 : 0 : 2 : 1 : 3 : 0 : 4 : 2 : 5 : 1 : 6 : 3 : 7 : 0 : 8
        : 4 : 9 : 2 : 10 : 5 : 11 : 1 : 12 : 6 : 13 : 3 : 14 : 7 : 15
        : 0 : 16 : 8 : 17 : 4 : 18 : 9 : 19 : 2 : 20 : 10 : 21 : 5 : 22
        : 11 : 23 : 1 : 24 : 12 : 25 : 6 : 26 : 13 : 27 : 3 : 28 : 14
        : 29 : 7 : 30 : 15 : 31 : 0 : 32 : 16 : 33 : 8 : 34 : 17 : 35
        : 4 : 36 : 18 : 37 : 9 : 38 : ...
```

Images of recursive streams

Puzzle: 0:0:1:0:2:1:3:0:4:2:5:1:6:3:7:0:8:4:9:2:10:5:11:1:12:6:13:3:14:7:15

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Images of streams of Booleans [Zantema]

The Boolean Fibonacci stream:

$$f(0 :: s) = 0 :: 1 :: f s \quad \text{bfib} = f \text{bfib}$$

$$f(1 :: s) = 0 :: f s$$

Images of recursive streams

Puzzle: 0 : 0 : 1 : 0 : 2 : 1 : 3 : 0 : 4 : 2 : 5 : 1 : 6 : 3 : 7 : 0 : 8 : 4 : 9 : 2 : 10 : 5 : 11 : 1 : 12 : 6 : 13 : 3 : 14 : 7 : 15

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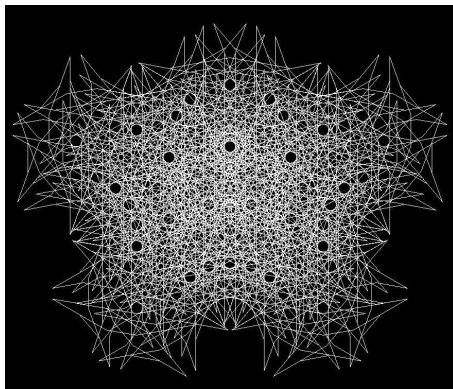
Images of streams of Booleans [Zantema]

The Boolean Fibonacci stream:

$$f(0 :: s) = 0 :: 1 :: f s$$

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$$\text{bfib} = f \text{ bfib}$$



```
bfib = 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 :  
: 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 :  
: 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 :  
: 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 :  
: 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 :  
: 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 :  
: 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 :  
: 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 :  
: 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 :  
: 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 :  
: 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 :  
: 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 :  
: 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 :  
: 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 :  
: 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 :  
: 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 :  
: 0 : 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 0 :  
: 0 : 1 : 0 : 1 : 0 : 0 : 1 : 0 : 0 : 1 : 0 : 1 : 1 : ...
```

Navigation icons: back, forward, search, etc.

CoInductive Types

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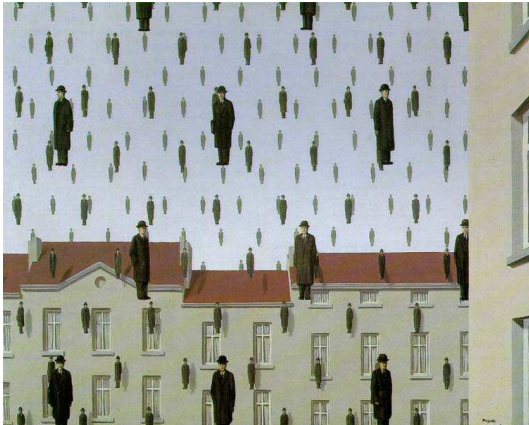
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CoInductive Definitions

Puzzle: $0 : 0 : 1 : 0 : 2 : 1 : 3 : 0 : 4 : 2 : 5 : 1 : 6 : 3 : 7 : 0 : 8 : 4 : 9 : 2 : 10 : 5 : 11 : 1 : 12 : 6 : 13 : 3 : 14 : 7 : 15$

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CoInductive Types: [Hagino 1987, Aczel & Mendler 1989]

Type-theoretic implementation of final coalgebras.

CoInductive Definitions

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Type-theoretic implementation of final coalgebras.

codata $\mathbb{S}_D : \text{Set}$
 $(::) : D \rightarrow \mathbb{S}_D \rightarrow \mathbb{S}_D$

Final Coalgebra:
 $\langle \mathfrak{h}_-, \mathfrak{t}_- \rangle : \mathbb{S}_D \rightarrow D \times \mathbb{S}_D$

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codata $\mathbb{T}_{A,B} : \text{Set}$
 $\text{leaf} : B \rightarrow \mathbb{T}_{A,B}$
 $\text{node} : (A \rightarrow \mathbb{T}_{A,B}) \rightarrow \mathbb{T}_{A,B}$

Final Coalgebra:
 $\langle \mathfrak{h}_-, \mathfrak{t}_- \rangle : \mathbb{S}_D \rightarrow D \times \mathbb{S}_D$

$\text{leaf } b \mapsto \text{inl } b$
 $\text{node } f \mapsto \text{inr } f$
 $: \mathbb{T}_{A,B} \rightarrow B + (A \rightarrow \mathbb{T}_{A,B})$

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$(::)$, leaf and node are **constructors**

Guardedness by constructors: [Coquand 1993]

A corecursive equation has a unique solution if all recursive calls occur only directly under constructor applications.

Example of guarded definition

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Definitions are accepted if they satisfy the guardedness condition [Giménez 1998]

$$\begin{aligned} \text{fguard} &: \mathbb{N} \rightarrow \mathbb{S} \\ \text{fguard } n &= \mathbf{case} \text{ mod}(n, 3) \\ &\left\{ \begin{array}{l} 0 \mapsto \text{nat} \\ 1 \mapsto n :: (n - 1) :: \text{fguard } (n + 1) \\ 2 \mapsto n :: \text{map } (2 \cdot -) (\text{fguard } (2 \cdot n)) \end{array} \right. \end{aligned}$$

No recursive calls.

Recursive calls under two constructors.

Map *filters* the constructors.

Methods to solve corecursive equations

Puzzle: $0 : 0 : 1 : 0 : 2 : 1 : 3 : 0 : 4 : 2 : 5 : 1 : 6 : 3 : 7 : 0 : 8 : 4 : 9 : 2 : 10 : 5 : 11 : 1 : 12 : 6 : 13 : 3 : 14 : 7 : 15$

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Some equations don't satisfy guardedness
but they still have a unique solution.

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- ▶ Termination of Rewriting Systems [Zantema 2009]

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- ▶ Termination of Rewriting Systems [Zantema 2009]
- ▶ Unicity by Bisimulation [VC 2010]

Bisimulations

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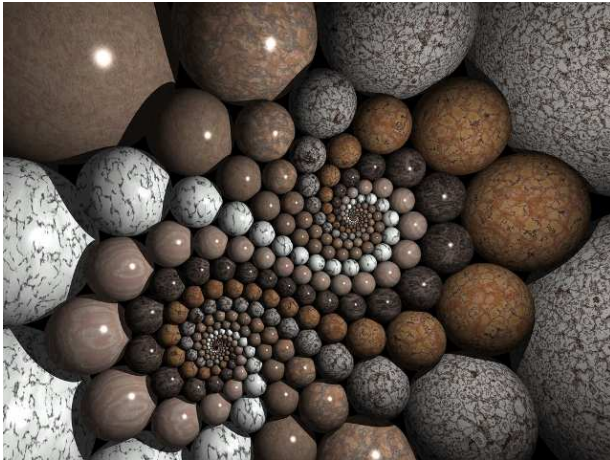
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Definition of bisimulation. [Park 1981, Milner 1989]

Bisimulations and the Coinduction Principle

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Definition of bisimulation. [Park 1981, Milner 1989]

A relation \sim on a coinductive type is a *bisimulation* if

$$x_1 \sim x_2 \Rightarrow \left\{ \begin{array}{l} \text{same top constructor} \\ \text{same non-recursive arguments} \\ \text{recursive arguments related by } \sim \end{array} \right.$$

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On Streams: $s_1 \sim s_2 \Rightarrow \text{h}s_1 = \text{h}s_2 \wedge \text{t}s_1 \sim \text{t}s_2$

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Definition of bisimulation. [Park 1981, Milner 1989]

A relation \sim on a coinductive type is a *bisimulation* if

$$x_1 \sim x_2 \Rightarrow \left\{ \begin{array}{l} \text{same top constructor} \\ \text{same non-recursive arguments} \\ \text{recursive arguments related by } \sim \end{array} \right.$$

On Streams: $s_1 \sim s_2 \Rightarrow \mathfrak{h}s_1 = \mathfrak{h}s_2 \wedge \mathfrak{t}s_1 \sim \mathfrak{t}s_2$

On Trees:

$$t_1 \sim t_2 \Rightarrow \left\{ \begin{array}{l} t_1 = \text{leaf } b = t_2 \quad \vee \\ t_1 = \text{node } f_1 \wedge t_2 = \text{node } f_2 \\ \wedge \forall a. f_1 a \sim f_2 a \end{array} \right.$$

Bisimulations and the Coinduction Principle

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The Coinduction principle:

$$x_1 \sim x_2 \Rightarrow x_1 = x_2.$$

Bisimulation as a coinductive relation

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The Coinduction principle doesn't hold in Type Theory:
Equality is intentional: Equality of normal forms

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Instead: Bisimilarity is defined as a coinductive relation:

codata $(\approx) : \mathbb{S} \rightarrow \mathbb{S} \rightarrow \text{Prop}$

conssim $: (x : D)(s_1, s_2 : \mathbb{S}) s_1 \approx s_2 \rightarrow (x :: s_1) \approx (x :: s_2)$

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codata (\approx) : $\mathbb{T} \rightarrow \mathbb{T} \rightarrow \text{Prop}$

leafsim : $(b : B) \text{leaf } b \approx \text{leaf } b$

nodesim : $(f_1, f_2 : A \rightarrow \mathbb{T})(\forall a. f_1 a \approx f_2 a)$
 $\rightarrow (\text{node } f_1) \approx (\text{node } f_2)$

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Unicity of solutions for the equation:

$$\begin{aligned}\chi &: \mathbb{S} \rightarrow \mathbb{S} \\ \chi\ s &= \mathsf{h}_s :: \mathsf{t}_s \times (\chi\ \mathsf{t}_s)\end{aligned}$$

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Suppose χ_1 and χ_2 are solutions.

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Ad hoc bisimulation, inductively defined by:

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Suppose χ_1 and χ_2 are solutions.

Ad hoc bisimulation, inductively defined by:

$$\frac{s : \mathbb{S}}{\chi_1 \ s \sim \chi_2 \ s} (R0)$$

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Suppose χ_1 and χ_2 are solutions.

Ad hoc bisimulation, inductively defined by:

$$\frac{s : \mathbb{S}}{\chi_1\ s \sim \chi_2\ s} (R0) \qquad \frac{s, x_1, x_2 : \mathbb{S} \quad x_1 \sim x_2}{s \times \mathfrak{t}_{x_1} \sim s \times \mathfrak{t}_{x_2}} (R1)$$

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$$\frac{s, x_1, x_2 : \mathbb{S} \quad x_1 \sim x_2}{x_1 \ltimes s \sim x_2 \ltimes s} (R2).$$

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$$\frac{s, x_1, x_2 : \mathbb{S} \quad x_1 \sim x_2}{x_1 \times s \sim x_2 \times s} (R2).$$

By the coinduction principle and R0, $\chi_1 = \chi_2$.

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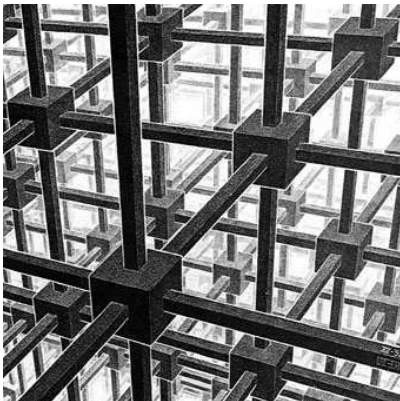
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Brouwer's Continuity (In Functional Programming terms):

Given a function $f : \mathbb{S}_{\mathbb{N}} \rightarrow \mathbb{N}$,

for every $s : \mathbb{S}_{\mathbb{N}}$, there exists $n : \mathbb{N}$ such that

for every $s' : \mathbb{S}_{\mathbb{N}}$, if $\text{take } n s' = \text{take } n s$, then $f s' = f s$.

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Apparently impossible functional program: [Martin Escardo]

$$\text{allb} : (\mathbb{S}_{\mathbb{B}} \rightarrow \mathbb{B}) \rightarrow \mathbb{B}$$

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$$\text{allb} : (\mathbb{S}_{\mathbb{B}} \rightarrow \mathbb{B}) \rightarrow \mathbb{B}$$
$$\text{allb } f = f \text{ (counterexample } f)$$

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$$\text{counterexample} : (\mathbb{S}_{\mathbb{B}} \rightarrow \mathbb{B}) \rightarrow \mathbb{S}_{\mathbb{B}}$$
$$\begin{aligned} \text{counterexample } f = & \text{ if } (\text{allb } f_t) \\ & \text{ then } (\text{false} :: \text{counterexample } f_f) \\ & \text{ else } (\text{true} :: \text{counterexample } f_t) \end{aligned}$$
$$\begin{aligned} \text{where } f_t &= \lambda s. f \text{ (true} :: s) \\ f_f &= \lambda s. f \text{ (false} :: s) \end{aligned}$$

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Integers in binary representation: $[\mathbb{B}]$.

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Integers in binary representation: $[\mathbb{B}]$.

A function $f : [\mathbb{B}] \rightarrow A$ can be represented by a tree:

```
codata  $\mathbb{T}_A : \text{Set}$   
node :  $A \rightarrow \mathbb{T}_A \rightarrow \mathbb{T}_A \rightarrow \mathbb{T}_A$ 
```

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Tabulation of the function:

```
 $\text{tabulate} : ([\mathbb{B}] \rightarrow A) \rightarrow \mathbb{T}_A$   
 $\text{tabulate } f = \text{node } (f []) (\text{tabulate } f_t) (\text{tabulate } f_f)$   
where  $f_t = \lambda s. f (\text{true} :: s)$   
 $f_f = \lambda s. f (\text{false} :: s)$ 
```

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where  $f_t = \lambda s. f (\text{true} :: s)$   
 $f_f = \lambda s. f (\text{false} :: s)$ 
```

Application of a tabulation:

```
 $\text{apply} : \mathbb{T}_A \rightarrow [\mathbb{B}] \rightarrow A$   
 $\text{apply } (\text{node } a \ t_1 \ t_2) [] = a$   
 $\text{apply } (\text{node } a \ t_1 \ t_2) (\text{true} :: l) = \text{apply } t_1 \ l$   
 $\text{apply } (\text{node } a \ t_1 \ t_2) (\text{false} :: l) = \text{apply } t_2 \ l$ 
```

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A function $f : \mathbb{S}_A \rightarrow B$ can (?) be represented by

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A function $f : \mathbb{S}_A \rightarrow B$ can (?) be represented by
a well-founded tree: [Ghani/Hancock/Pattinson:2006]

```
data  $\mathbb{T}_{A,B} : \text{Set}$   
  leaf :  $B \rightarrow \mathbb{T}_{A,B}$   
  node :  $(A \rightarrow \mathbb{T}_{A,B}) \rightarrow \mathbb{T}_{A,B}$ 
```

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```

Application of a tabulation:

```
apply :  $\mathbb{T}_{A,B} \rightarrow \mathbb{S}_A \rightarrow B$   
apply (leaf b) s = b  
apply (node g) (a :: s) = apply (g a) s
```

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apply (leaf b) s = b  
apply (node g) (a : s) = apply (g a) s
```

Is there an inverse transformation/tabulation?

```
tabulate :  $(\mathbb{S}_A \rightarrow B) \rightarrow \mathbb{T}_{A,B}$ 
```

Surely B must be a discrete/inductive type.

Tabulation Duality?

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What is the relation between the two kinds of tabulations?

- ▶ Function on Inductive Types : Coinductive Tabulations.
- ▶ Function on CoInductive Types : Inductive Tabulations.

How to build a tabulation in the second case?

We need strong intentionality.

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In Type Theory all functions are total.
How do we represent partial recursive functions?

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How do we represent partial recursive functions?

Partiality Monad: [VC 2005]

codata $B^\nu : \text{Set}$

$\llbracket - \rrbracket : B \rightarrow B^\nu$

$\triangleleft : B^\nu \rightarrow B^\nu$

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$\triangleleft : B^\nu \rightarrow B^\nu$

A partial function is represented as $f : A \rightarrow B^\nu$.

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Partiality Monad: [VC 2005]

codata $B^\nu : \text{Set}$

$\lceil - \rceil : B \rightarrow B^\nu$

$\triangleleft : B^\nu \rightarrow B^\nu$

A partial function is represented as $f : A \rightarrow B^\nu$.
 f defined on a : $f\ a = \triangleleft \triangleleft \triangleleft \cdots \triangleleft \lceil b \rceil$.

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Partiality Monad: [VC 2005]

codata B^ν : Set

$\lceil - \rceil : B \rightarrow B^\nu$

$\triangleleft : B^\nu \rightarrow B^\nu$

A partial function is represented as $f : A \rightarrow B^\nu$.

f defined on a : $f\ a = \triangleleft \triangleleft \triangleleft \cdots \triangleleft \lceil b \rceil$.

f undefined on a : $f\ a = \triangleleft \triangleleft \triangleleft \triangleleft \cdots$.

Bove/Capretta method

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Different approach to partial recursive functions. [Bove/VC 2001]

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A partial function $f : A \rightarrow B$ is represented by

A domain predicate and a function on the domain:

$$\text{Dom} : A \rightarrow \text{Prop}$$
$$f : (a : A) \text{Dom } a \rightarrow B$$

Bove/Capretta method

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$$f : (a : A) \text{Dom } a \rightarrow B$$

Example, function that seeks a 0 in a stream:

$$\text{seek} : \mathbb{S}_{\mathbb{N}} \rightarrow \mathbb{N}$$

Bove/Capretta method

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Different approach to partial recursive functions.^[Bove/VC 2001]

A partial function $f : A \rightarrow B$ is represented by

A domain predicate and a function on the domain:

$$\text{Dom} : A \rightarrow \text{Prop}$$

$$f : (a : A) \text{Dom } a \rightarrow B$$

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$$\text{data Dom}_{\text{seek}} : \mathbb{S}_{\mathbb{N}} \rightarrow \text{Prop}$$

$$\text{found} : (s : \mathbb{S}_{\mathbb{N}}) \text{Dom}_{\text{seek}} (0 :: s)$$

$$\text{notfound} : (n : \mathbb{N})(s : \mathbb{S}_{\mathbb{N}}) \text{Dom}_{\text{seek}} s \rightarrow \text{Dom}_{\text{seek}} (S \ n :: s)$$

$$\text{seek} : (s : \mathbb{S}_{\mathbb{N}}) \text{Dom}_{\text{seek}} s \rightarrow \mathbb{N}$$

$$\text{seek} (0 :: s) (\text{found } z) = 0$$

$$\text{seek} (S \ n :: s) (\text{notfound } n \ s \ h) = S (\text{seek } s \ h)$$

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Coinductive version of the domain:
trace of computation. [Bove/VC 2007]

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```
codata Traceseek :  $\mathbb{S}_{\mathbb{N}} \rightarrow \text{Prop}$   
  found :  $(s : \mathbb{S}_{\mathbb{N}}) \text{Trace}_{\text{seek}} (0 :: s)$   
  notfound :  $(n : \mathbb{N})(s : \mathbb{S}_{\mathbb{N}}) \text{Trace}_{\text{seek}} s \rightarrow \text{Trace}_{\text{seek}} (S\ n :: s)$   
  
seek :  $(s : \mathbb{S}_{\mathbb{N}}) \text{Trace}_{\text{seek}} s \rightarrow \mathbb{N}^{\nu}$ 
```

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seek :  $(s : \mathbb{S}_{\mathbb{N}}) \text{Trace}_{\text{seek}} s \rightarrow \mathbb{N}^{\nu}$ 
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In all these representations:

How do we effectively compute the function?

Coinductive objects don't automatically unfold.

Domain predicate must be proved.

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To compute $\text{seek}(7 :: 2 :: 5 :: \dots)$

Assume the domain predicate and type-check the result:

$$h : \text{Dom}_{\text{seek}}(7 :: 2 :: 5 :: \dots) \vdash \text{seek}(7 :: 2 :: 5 :: \dots) h : \mathbb{N}$$

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Rewrite the judgement:

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Rewrite the judgement:

$$\begin{aligned} \mapsto \quad & h_1 : \text{Dom}_{\text{seek}}(2 :: 5 :: \dots) \\ & \vdash \text{seek}(7 :: 2 :: 5 :: \dots) (\text{notfound } 6(2 :: 5 :: \dots) h_1) : \mathbb{N} \end{aligned}$$

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Connection with Non-Standard Type Theory [Martin-Löf 1988]

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Data structures with both: [Danielsson/Altenkirch 2009]

- Constructors that must be well-founded;
- Constructors that can be iterated infinitely

Example, equality for the partiality monad:

codata $(\simeq) : B^\nu \rightarrow B^\nu \rightarrow \text{Prop}$

$\text{eqstep} : (x_1, x_2 : B^\nu) x_1 \simeq x_2 \rightarrow \triangleleft x_1 \simeq \triangleleft x_2$

$\text{equal} : (b : B) [b] \simeq [b]$

$\text{eqmix}_1 : (x_1, x_2 : B^\nu) x_1 \simeq x_2 \rightarrow \triangleleft x_1 \simeq x_2$

$\text{eqmix}_2 : (x_1, x_2 : B^\nu) x_1 \simeq x_2 \rightarrow x_1 \simeq \triangleleft x_2$

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$\text{equal} : (x_1, x_2 : B^\nu)(b : B) x_1 \downarrow b \rightarrow x_2 \downarrow b \rightarrow x_1 \simeq x_2$

$x \downarrow b$ inductive convergence relation

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The ∞ marks arguments that need not be well-founded

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Interesting topics for future research:

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- Solution of corecursive equations;

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Interesting topics for future research:

- ▶ Solution of corecursive equations;
- ▶ Tabulations of functions on coinductive domains;

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- ▶ Solution of corecursive equations;
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Interesting topics for future research:

- ▶ Solution of corecursive equations;
- ▶ Tabulations of functions on coinductive domains;
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- ▶ Mixed inductive/coinductive definitions.

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- ▶ Solution of corecursive equations;
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There are many other exiting topics.

MSFP

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Mathematically Structured Functional Programming

Baltimore, 25 September 2010

<http://cs.ioc.ee/msfp/msfp2010/>

Deadline: 9 - 16 April